

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li and Annie Tissier  
 Application No. : 09/061,667  
 Filed : August 3, 2000  
 For : SEMICONDUCTOR STRUCTURE HAVING AN  
 IMPROVED PRE-METAL DIELECTRIC STACK AND  
 METHOD FOR FORMING THE SAME

Docket No. : 850063.529  
 Date : August 3, 2000

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, DC 20231



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents:

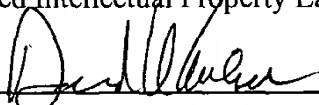
In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the 16 references set forth on the attached Form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/061,667, filed April 16, 1998. On the PTO-1449, filed April 16, 1998, references AM through AP were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. The Prior art references AA through AE were cited by the Examiner with the Office Action mailed on February 3, 2000, therefore are also not enclosed. If the Examiner wishes, copies will be provided upon request. Copies of additional prior art references which recently came to the attention of the applicants have been enclosed and are listed on the Form PTO-1449 as items AG through AL, and are noted with \*\* for the convenience of the Examiner.

As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants'

duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Shin Hwa Li and Annie Tissier  
Seed Intellectual Property Law Group PLLC



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Enclosures:

Form PTO-1449  
Cited References (6)

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